

#### **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicants: Joerg Breitenbach, et al.

Serial No.: 10/650,178

Filed: August 28, 2003

For: SOLID PHARMACEUTICAL DOSAGE

**FORM** 

Attorney Docket No.: 7113US01

Group Art No.: 1615

Certificate of Mailing under 37 CFR §1.8(a): I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail addressed to:

Mail Stop Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date of Deposit: (

2006

Kathleen Boettcher

## TRANSMITTAL LETTER

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is a Supplemental Information Disclosure Statement Joerg Breitenbach, et al., for SOLID PHARMACEUTICAL DOSAGE FORM, the specification of which was filed on August 28, 2003, and received Serial No. 10/650,178.

Also enclosed are:

Form PTO 1449 (in duplicate) w/two (2) references

Copy of International Search Report dated

May 8, 2006 from PCT/US2004/027401

Return-receipt postcard

The Commissioner is hereby authorized to charge any additional Filing Fees required under 37 CFR 1.16, as well as any patent application processing fees under 37 CFR 1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted, Joerg Breitenbach, et al.

**ABBOTT LABORATORIES** 

Customer No.: 23492

Telephone: (847) 935-1133 Facsimile: (847) 938-2623

Xy Zhang

Registration No. L0116 Attorney for Applicants



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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The following information is submitted pursuant to 37 C.F.R. §1.97 and §1.98 in accordance with Applicants' duty of disclosure under 37 C.F.R. §1.56. This submission is not an admission that the documents cited herein are prior art as to the invention claimed. In accordance with 37 CFR §§1.97(g)-(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that other material information as defined by 37 CFR §1.56(a) exists.

Applicants submit herewith Form PTO-1449 listing the references known to them. Applicants respectfully request that the Examiner (1) initial each reference listed on the enclosed Form PTO-1449 indicating that the Examiner has considered and made those references of record in this application and (2) return a copy of the initialed Form PTO 1449 to Applicants. Copies of the references are also enclosed.

Documents listed in this Supplemental Information Disclosure Statement were first cited in an International Search Report, dated May 8, 2006, issued in connection with a counterpart application No. PCT/US2004/027401.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR §1.97(b). Accordingly, no charge is required.

Respectfully submitted, Joerg Breitenbach, et al.

ABBOTT LABORATORIES

Customer No.: 23492 Telephone: (847) 935-1133 Facsimile: (847) 938-2623 Xu Zhang

Registration No. L0116
Attorney for Applicants



# BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

# LIMITED RECOGNITION UNDER 37 CFR § 11.9(b)

Xu Zhang is hereby given limited recognition under 37 CFR § 11.9(b) as an employee of Abbott Laboratories to prepare and prosecute patent applications in which the patent application is assigned to Abbott Laboratories. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Xu Zhang ceases to lawfully reside in the United States, (ii) Xu Zhang's employment with Abbott Laboratories ceases or is terminated, or (iii) Xu Zhang ceases to remain or reside in the United States on an H-1 visa. In addition, should the USCIS Petition for Nonimmigrant Worker dated on or about February 14, 2006, filed by Abbott Laboratories be denied by the USCIS and the employment authorization cease in accordance with 8 USC § 1184(n)(1), this limited recognition shall also cease.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Limited Recognition No. <u>L0116</u> Expires: September 14, 2006

Harry I. Moatz

Director of Enrollment and Discipline

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		Al	6,027,747	02/22/2000	Terracol, et al.						
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	B2	2004/0	032903	22.04.2004	WO						
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# Form PTO - 1449 (Modified)

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.	
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JUL 2 7 2006 STATEMENT BY APPLICANT	Joerg Breitenach, et	al.	
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(Use several sheets if necessary)	August 28, 2003	1615	

## **U.S.PATENT DOCUMENTS**

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	Al	6,027,747	02/22/2000	Terracol, et al.			
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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAM. INITIAL		DOCUMENT NUMBER	PUBLI- CATION	COUNTRY OR		SUB	TRANSLATION	
			DATE	PATENT OFFICE	CLASS	CLASS	YES	NO
	B1	01/34119	17.05.2001	WO				
	B2	2004/032903	22.04.2004	WO				
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## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)